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Docket No: 6727/0H610

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Enclosed please find an application for United States patent as identified below:

Inventor/s (name ALL inventors):

Simona COHEN; Tirtsa HOCHBERG; Haim NELKEN; Ilan PALEIOV; and
Pnina VORTMAN

Title: **INTEGRATING DIVERSE DATA SOURCES USING A MARK-UP LANGUAGE**

including the items indicated:

1. Specification and 29 claims: 3 indep.; 26 dep.; 0 multiple dep.
2. ☐ Executed declaration and power of attorney
☒ Unexecuted declaration and power of attorney
3. ☒ Formal drawings, 4 sheets (Figs. 1-4)
☐ Informal drawings, sheets (Figs.)
4. ☐ Assignment for recording to:

5. ☐ Verified Statement Claiming Small Entity Status
6. ☐ Check in amount of \$____, (\$__ filing; \$__ recording)
(See attached **Fee Computation Sheet**)
7. ☐ Preliminary Amendment.
8. ☐ Please amend the description by inserting the following paragraph after the line containing the title on page 1:
"This patent application claims the priority of U.S. provisional patent application No. 60/, which is incorporated herein by reference."

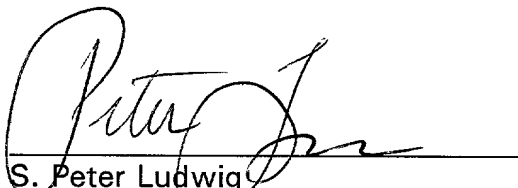
Priority is claimed for this application, corresponding application/s having been filed as follows:

Country: NONE
Number:
Date:

The priority documents ☐ are enclosed
☐ will follow.

Respectfully submitted,

Dated: August 30, 2000


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5 Another method for manipulating heterogeneous data is described in U.S. Patent 5,345,586, whose disclosure is likewise incorporated herein by reference. A global data directory is provided, which maps the location of data, along with specific data entry attributes and data source parameters. Various tables are used for dealing with the diverse data properties, including an attribute table, a domain table, a routing table and a cross-reference table. The tables are used in accessing the data, in order to provide a system user with a consistent interface to multiple distributed, heterogeneous data sources.

30 XML allows users to define their own sets of tags,
depending on their application needs. Each XML document
is associated with a Document Type Definition (DTD),

which specifies the elements that can exist in the document and the attributes and hierarchy of the elements. Many different DTDs have already been developed for different domains, such as "performanceML" for the computer system performance evaluation domain, and "CPEX" for the customer relationship management domain. XML.ORG maintains a registry of available DTDs at xml.org/xmlorg_registry/index.shtml. XML-schema is under development as an alternative to the DTD, as described at www.w3.org/TR/xmlschema-0.

Style languages are used to control how the data contained in a markup language document are structured, formatted and presented. For example, W3C has introduced the Extensible Style Language (XSL) for use in defining style sheets for XML documents. An XSL style sheet is a collection of rules, known as templates. When the rules are applied to an input XML file by a processor running an XSL engine, they generate as output some or all of the content of the XML file in a form that is specified by the rules. (In fact, an XSL style sheet is itself a type of XML document.) XSL includes a transformation language, XSLT, which is defined by a standard available at www.w3.org/TR/xslt. Rules written in XSLT specify how one XML document is to be transformed into another XML document. The transformed document may use the same markup tags and DTD as the original document, or it may have a different set of tags, such as HTML tags. Other style languages are also known in the art, such as the Document Style, Semantics and Specification Language (DSSSL), which is commonly used in conjunction with SGML.

SUMMARY OF THE INVENTION

In preferred embodiments of the present invention, a data integration system provides unified access to data residing in diverse, heterogeneous data sources. The data integration is achieved by mapping all of the data, from all of the diverse sources, to a unified schema defined in a markup language. Preferably, the language comprises XML, and the schema comprises a DTD defined for the particular application domain to which the data belong. Alternatively, other markup languages and other schema may be used for this purpose.

In some preferred embodiments of the present invention, the system comprises an administrator application and a middleware-level lookup engine. The administrator is used to map all relevant fields in the diverse data sources to appropriate elements of the chosen schema. The mappings are stored in a repository, and are then used by the lookup engine to transform the data from the diverse data source to a unified format in the markup language, in compliance with the schema. Database access applications, such as queries, interrogate the diverse data sources through the middleware lookup engine, and so receive responses in the unified markup language format, regardless of the source of the data. As a result, differences in source format and complexities in accessing the diverse data sources are completely transparent to the application.

Preferably, a different unified schema is defined for each different domain in which the data integration system is to be used. The schema in each case should cover all of the types of data that may be relevant to the domain. XML and related markup languages are

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Preferably, defining the correspondences includes selecting one or more of the data fields in the sources to correspond to one of the markup tags in the schema, and determining a conversion function to apply to the one or more data fields. Further preferably, determining the conversion function includes determining the function so as to generate a data element indicated by the corresponding one of the markup tags. Additionally or alternatively, determining the conversion function includes determining the function to generate an attribute of the unified data indicated by the corresponding one of the markup tags.

In a preferred embodiment, the method includes
20 querying the sources by addressing a query to the unified
data in the markup language, wherein mapping the source
data includes mapping the source data responsive to the
query.

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sources to the markup tags listed by the schema, and to map the source data in accordance with the correspondences to generate unified data in the markup language.

5 In a preferred embodiment, the apparatus includes a plurality of distributed data storage devices, which hold the diverse data sources, wherein the processor is adapted to retrieve the source data from the distributed devices.

10 There is additionally provided, in accordance with a preferred embodiment of the present invention, a computer software product for processing source data from a plurality of diverse sources in a selected data domain, the product including a computer-readable medium in which
15 program instructions are stored, which instructions, when read by a computer, cause the computer to receive a unified schema that lists markup tags in the selected data domain that can exist in a document in the markup language and to receive definitions of correspondences of
20 data fields from the sources to the markup tags listed by the schema, and to map the source data in accordance with the correspondences to generate unified data in the markup language.

In a preferred embodiment, the instructions further
25 cause the computer to accept and respond to a query addressed to the unified data in the markup language, wherein the product includes middleware, which causes the computer to map the source data responsive to the query.

The present invention will be more fully understood
30 from the following detailed description of the preferred embodiments thereof, taken together with the drawings in which:

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DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

Fig. 1 is a schematic, pictorial illustration of a system 20 for integrating data from diverse data sources, in accordance with a preferred embodiment of the present invention. The data sources typically comprise databases stored on distributed storage devices 26. System 20 is capable of working with substantially any type of structured data, however, and it is not necessary that the data sources comprise relational databases. A data integration server 24 accesses the data on storage devices 26 and provides the data to a client computer 22, typically in response to a query from the client. The client computer may be in close proximity to the server, or alternatively, it may access the server via a network, such as the Internet. Further alternatively, the functions of the server and the client may be integrated and carried out on a single machine.

Client computer 22 and server 24 preferably comprise general-purpose computer processors, which are controlled by appropriate software to carry out the functions described hereinbelow. The software may be provided to the client and the server in electronic form, by download over a network, for example, or alternatively, it may be furnished on tangible media, such as CD-ROM.

Fig. 2 is a block diagram that schematically illustrates functional elements of system 20, in accordance with a preferred embodiment of the present invention. A middleware layer 30 running on server 24 is responsible for integrating data from diverse sources 32 using XML. For each of data sources 32, an administrator application 34 is used to define mappings from the data source to a unified schema 38, as described in detail

hereinbelow. The schema is preferably specified by a DTD that is defined for the domain to which data sources 32 belong, such as the performanceML or CPEX DTD noted above. The mappings defined by administrator 34 are
5 stored in a repository 36.

A lookup engine 40 uses the mappings in repository 36 to access data sources 32 and to map the diverse data from these sources to unified data 42. The unified data are preferably represented as XML code, complying with
10 schema 38. An application such as a query engine 44, running on client 22 or on server 24, is able to access unified data 42 substantially without regard to the differences in format and access methods among sources 32. From the point of view of the application, the
15 diverse sources are all a single body of XML data. Query engine 44 preferably comprises an XML-based query engine, written in the XQL or XML-QL query language, for example. These languages are respectively described at
www.w3.org/TandS/QL/QL98/pp/xql.html and at
20 www.w3.org/TR/1998/NOTE-xml-ql-19980819.

Fig. 3 is a flow chart that schematically illustrates a method by which system 20 integrates the data from sources 32, in accordance with a preferred embodiment of the present invention. At a schema
25 creation step 50, unified schema 38 for the selected domain is specified. Preferably, an existing DTD is selected. Alternatively, a new DTD or other schema may be created, or another type of schema may be used, such as an XML-schema, as mentioned above. The schema should
30 be adequate to cover all of the existing types of data in the domain and, preferably, should be easily extensible

to allow the addition of tags defining new data elements within its hierarchy.

At an access step 52, administrator 34 defines how each of data sources 32 is to be accessed. For example, if the data source is a database, the administrator preferably defines a host name and port (on a network linking server 24 to the appropriate storage device 26), the database name, and a username and password. The administrator then creates mappings from data sources 32 to schema 38, at a mapping step 54. Preferably, the mappings are created using an on-screen editor, as illustrated in Fig. 4. The editor preferably creates XSLT rules, which are used subsequently to carry out the actual mapping. Alternatively, a user of administrator 34 may create the XSLT rules (or other mapping function) by coding it directly, without the aid of a visual editor.

Preferably, each of the mappings created at step 52 is a triplet of the form <source, target, conversion function>. The source is a field or a set of fields in data source 32. The target is an element or an attribute, or a set of elements or attributes, in unified schema 38. The conversion function is a function that is applied to the data in the source in order to create a data value for the target. For example, assuming that system 20 is assembling computer performance data using the performanceML DTD, one of the triplets might be as follows:

- Source - Day.cpu_utilization (the CPU utilization field in the computer's Day table).

- 5 Lookup engine 40 creates unified data 42 from data
sources 32, at a unified data generation step 56. For
each mapping, the lookup engine fetches the appropriate
source data from the data sources, transforms the data to
XML format, and then maps the data to the target. The
10 mapping is preferably carried out by invoking an
appropriate XSL engine, as is known in the art, to
operate on the XML source data using the XSLT rules
created at step 54. The unified data are then available
to query engine 44, at a query step 58. Typically,
15 unified data 42 are not held as a static database, but
are rather created dynamically by lookup engine 40 when
required by a particular query.

Fig. 4 is a schematic representation of a computer screen 65 associated with administrator application 34, in accordance with a preferred embodiment of the present invention. Screen 65 is typically displayed on a monitor of client 22, for use in interactively mapping data sources 32 to schema 38 at step 54 of the method

described above. The data sources are identified in a data source window 70, while the mapping targets from the DTD tree or other schema are shown in a DTD window 72. In the example shown in this figure, the selected data
5 source is a cpu_0 field 80 in the perform.c_day table, while the target is a cpu_0 element 82 in the DTD. The selected conversion function, chosen from a function menu, is an intToPercent function 74. Once the user has indicated the chosen source, target and conversion
10 function, he or she selects an add button 76 to enter the mapping in repository 36. A mapping window 78 lists all of the mappings that administrator 34 has created.

Table I lists different types of mappings that may be created by administrator 34 at step 54 to convert
15 source data to target data. These mappings are described here by way of example, and other conversion functions will be apparent to those skilled in the art.

TABLE I - MAPPING TYPES

1. Direct copy from a column in the data source to an
20 element or attribute in the DTD.
2. Apply a conversion function to a column in the data source, and create an element or an attribute in the DTD.
3. Apply a conversion function to a set of columns in
25 the data sources, and create an element or an attribute in the DTD. The columns may belong to different data sources.
4. Select certain rows in the data source, and copy each one to an element or attribute in the DTD.

5. Select certain rows in the data source, apply a conversion function to each selected row, and create an element or attribute in the DTD.
6. Join tables in the data sources to one element in the DTD, by combining rows from several tables. The tables may belong to different data sources.
7. Aggregate data from the data source with a simple function, given by the XSLT rules - for example, find the average response time per day. Copy the aggregated data to an element or attribute in the DTD.
8. Aggregate data from the data source with a complex conversion function, i.e., a function that is not given by the XSLT and must therefore be coded. Copy the aggregated data to an element or attribute in the DTD.
9. Mappings that include parameters - for example, copy column `cpu_utilization_objective` to `$cpu_objective`, wherein the parameter `cpu_objective` can get different values in each execution of lookup engine 40.
- As noted above, the mappings created at step 54 are preferably recorded as XSLT rules. Tables II and III below are examples of XSLT code that implements two rules of this sort. Table II is a mapping of the first type (direct copy) listed in Table I, while Table III is a mapping of the eighth type (aggregation with complex conversion).

TABLE II - XSLT DIRECT COPY

source - 'fqhn' column of table 'perform.server'
 target - attribute 'Server_id' of element
 'Server_Configuration_Info' in DTD
 conversion function - none (also called Direct)

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XSLT template -

```
<xsl:template match="//TABLE[@name='perform.server']">
  <xsl:for-each select="ROW">
    <Server_Configuration_Info>
5      <xsl:attribute name="Server_id">
        <xsl:value-of select="fqhn/@Value"/>
      </xsl:attribute>
    </Server_Configuration_Info>
  </xsl:for-each>
10 </xsl:template>
```

TABLE III - XSLT ROW CONVERSION

source - all rows of table 'perform.c_day'

target - element 'Server' in DTD

conversion function - 'FillStaticInfo' method of the
15 Java class 'FillStaticServerInfo'

XSLT template -

```
<xsl:template match="*">
  <xsl:variable name="group1"
    select="//TABLE[@name='perform.c_day']/ROW"/>
20 <xsl:variable name="answer"
    select="java:FillStaticServerInfo.FillStaticInfo
      ($group1)"/>
  <Server>
    <xsl:value-of select="$answer"/>
25 </Server>
</xsl:template>
```

Although preferred embodiments described herein make
use of certain particular markup languages and tools,
30 such as XML, DTDs and XSLT, further embodiments of the
present invention using other markup languages and

CLAIMS

- 1 1. A method for processing source data from a plurality
2 of diverse sources in a selected data domain, comprising:
3 specifying a unified schema that lists markup tags
4 in the selected data domain that can exist in a document
5 in the markup language;
6 defining correspondences of data fields from the
7 sources to the markup tags listed by the schema; and
8 mapping the source data in accordance with the
9 correspondences to generate unified data in the markup
10 language.
- 1 2. A method according to claim 1, wherein the markup
2 language comprises Extensible Markup Language (XML).
- 1 3. A method according to claim 2, wherein specifying
2 the unified schema comprises specifying a Document Type
3 Definition (DTD).
- 1 4. A method according to claim 2, wherein defining the
2 correspondences comprises defining data transformation
3 rules in Extensible Style Language (XSL).
- 1 5. A method according to claim 4, wherein mapping the
2 source data comprises transforming the data using an XSL
3 engine.
- 1 6. A method according to claim 1, wherein defining the
2 correspondences comprises selecting one or more of the
3 data fields in the sources to correspond to one of the
4 markup tags in the schema, and determining a conversion
5 function to apply to the one or more data fields.
- 1 7. A method according to claim 6, wherein determining
2 the conversion function comprises determining the

3 function so as to generate a data element indicated by
4 the corresponding one of the markup tags.

1 8. A method according to claim 6, wherein determining
2 the conversion function comprises determining the
3 function to generate an attribute of the unified data
4 indicated by the corresponding one of the markup tags.

1 9. A method according to claim 1, wherein at least some
2 of the source data are represented in a language other
3 than the markup language, and wherein mapping the source
4 data comprises transforming the data to the markup
5 language.

1 10. A method according to claim 1, and comprising
2 querying the sources by addressing a query to the unified
3 data in the markup language.

1 11. A method according to claim 10, wherein mapping the
2 source data comprises mapping the source data responsive
3 to the query.

1 12. Apparatus for processing source data from a
2 plurality of diverse sources in a selected data domain,
3 comprising a data integration processor, which is adapted
4 to receive and store a unified schema that lists markup
5 tags in the selected data domain that can exist in a
6 document in the markup language, and further to receive
7 and store definitions of correspondences of data fields
8 from the sources to the markup tags listed by the schema,
9 and to map the source data in accordance with the
10 correspondences to generate unified data in the markup
11 language.

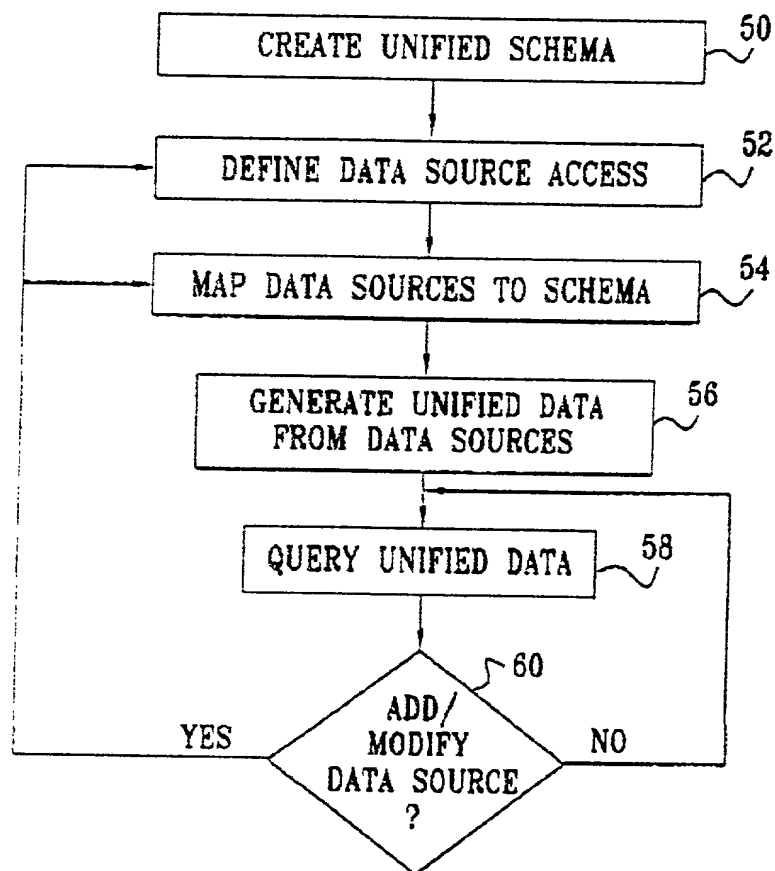
1 13. Apparatus according to claim 12, wherein the markup
2 language comprises Extensible Markup Language (XML).

1 28. A product according to claim 27, wherein the product
2 comprises middleware, which causes the computer to map
3 the source data responsive to the query.

[illegible]

ABSTRACT

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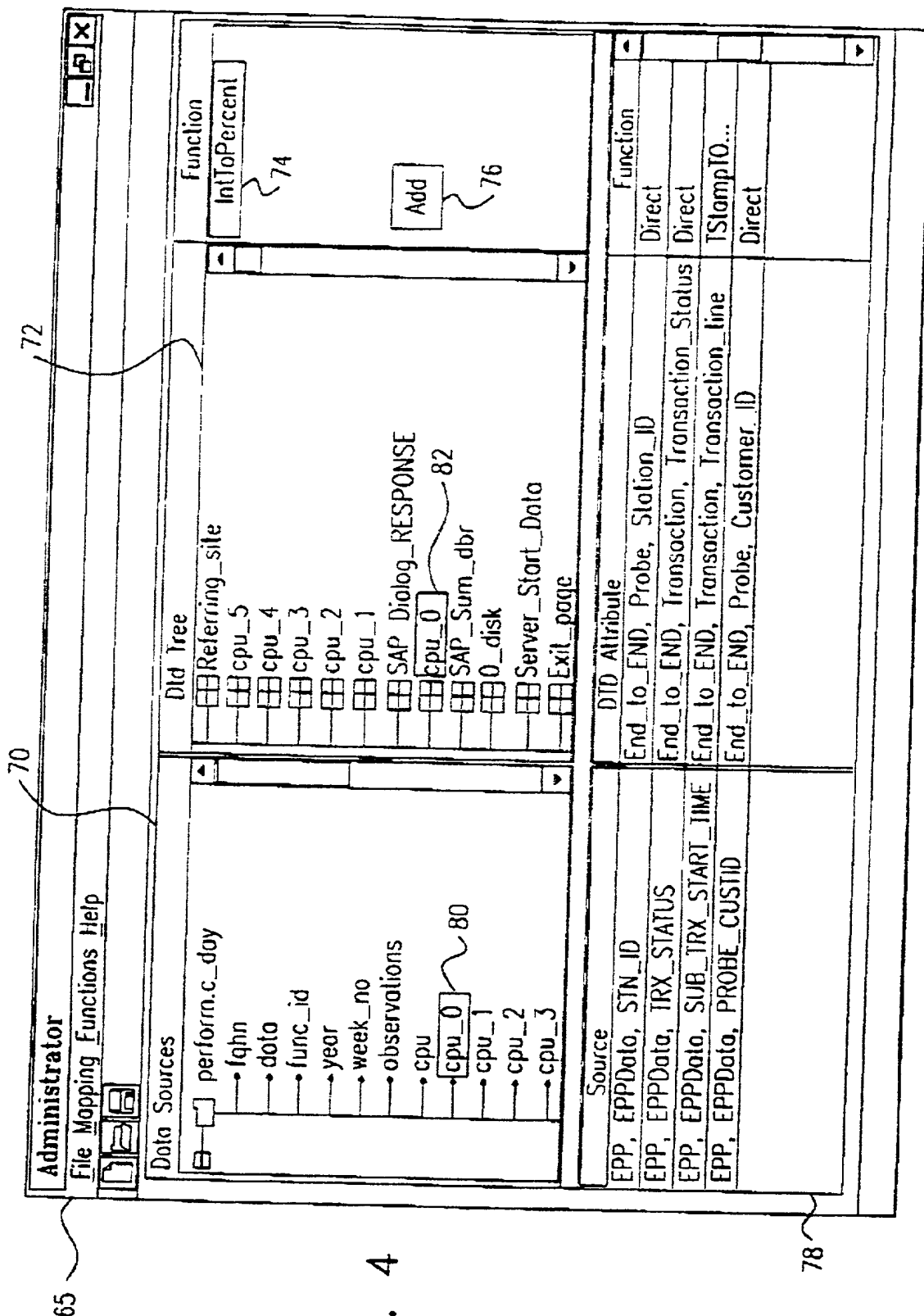


FIG. 4

**DECLARATION
AND POWER OF ATTORNEY
Original Application**

As a below named inventor, I declare that the information given herein is true, that I believe that I am the original, first and sole inventor if only one name is listed at 1 below, or a joint inventor if plural inventors are named below, of the invention entitled:

INTEGRATING DIVERSE DATA SOURCES USING A MARK-UP LANGUAGE

which is described and claimed in:

[X] the attached specification or ☐ the specification in application
Serial No. , filed
(for declaration not accompanying appl.)

that I do not know and do not believe that the same was ever known or used in the United States of America before my or our invention thereof or patented or described in any printed publication in any country before my or our invention thereof, or more than one year prior to this application, or in public use or on sale in the United States of America more than one year prior to this application, that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to this application, that I acknowledge my duty to disclose information of which I am aware which is material to patentability in accordance with 37 CFR §1.56. I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I hereby claim the priority benefits under 35 U.S.C. §119 of any application(s) for patent or inventor's certificate listed below. All foreign applications for patent or inventor's certificate on this invention filed by me or my legal representatives or assigns prior to the application(s) of which priority is claimed are also identified below.

PRIOR APPLICATION(S), IF ANY, OF WHICH PRIORITY IS CLAIMED

<u>COUNTRY</u>	<u>APPLICATION NO.</u>	<u>DATE OF FILING</u>
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SECRET

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